

statistical bulletin

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united states securities and exchange commission
washington, d. c. 20549

ROUND-LOT SHARE VOLUME ON THE NEW YORK STOCK EXCHANGE
(SHARES AND WARRANTS)^{1/}

Week Ended August 17, 1973

Trade Date 6	Total Reported Volume 9	Transactions of Members for Own Account, Except for Odd-Lot Dealer ^{2/}			31 NYSE RL
		10 As Specialists	20 As Floor Traders	30 Others	
Mon. 01 Purchases	11,529,850	1,648,500	55,200	1,034,715	
02 Sales-Total	11,529,850	1,296,050	40,500	849,800	
03 -Short	565,630	293,980	14,100	128,250	
Tues. 04 Purchases	11,894,570	1,582,950	104,400	1,033,131	
05 Sales-Total	11,894,570	1,566,820	84,500	976,450	
06 -Short	591,170	310,460	10,100	146,590	
Wed. 07 Purchases	12,135,400	1,557,450	89,900	1,021,537	
08 Sales-Total	12,135,400	1,599,420	64,400	1,257,749	
09 -Short	693,410	333,410	10,800	185,200	
Thurs. 10 Purchases	13,075,960	1,566,980	61,200	1,262,852	
11 Sales-Total	13,075,960	1,944,630	114,600	970,330	
12 -Short	780,700	461,380	17,100	178,500	
Fri. 13 Purchases	11,188,690	1,374,190	92,810	887,096	
14 Sales-Total	11,188,690	1,518,360	37,110	812,532	
15 -Short	691,830	408,830	10,800	135,800	
WEEK 16 Purchases	59,824,470	7,730,070	403,510	5,239,331	
17 Sales-Total	59,824,470	7,925,280	341,110	4,866,861	
18 -Short	3,322,740	1,808,060	62,900	774,340	

^{1/} Warrants have been included with share volume since January 3, 1972.

^{2/} The term "members" includes regular Exchange members, Exchange allied members and Exchange member organizations. Transactions for the odd-lot account of the odd-lot dealer are reported separately. All sales totals include short sales.

**SHARE VOLUME FOR ODD-LOT ACCOUNTS
OF ODD-LOT DEALER ON THE NEW YORK STOCK EXCHANGE**

Week Ended August 24, 1973

Trade Date 6	Customers' Odd-Lot Transactions 1/		Dealer Round-Lot Transactions		51 NYSEOL2
	9 Shares	17 Value	27 Shares	35 Value	
81 Purchases	208,035	7,879,973	52,310	1,481,550	
Mon. 82 Sales-Total	308,803	13,410,992	180,170	8,035,448	
83 -Short	2,098	133,035	0	0	
84 Purchases	204,781	7,807,802	58,110	1,586,913	
Tues. 85 Sales-Total	327,119	14,675,130	186,850	8,321,925	
86 -Short	4,364	240,897	0	0	
87 Purchases	199,435	8,264,608	72,200	2,330,438	
Wed. 88 Sales-Total	313,570	14,171,923	163,680	7,748,943	
89 -Short	3,828	280,931	0	0	
90 Purchases	212,246	8,661,059	89,520	3,046,390	
Thurs. 91 Sales-Total	274,082	11,706,461	137,600	5,594,686	
92 -Short	3,429	231,217	0	0	
93 Purchases	196,379	7,618,997	58,300	1,623,138	
Fri. 94 Sales-Total	276,361	11,682,365	155,870	6,551,078	
95 -Short	4,856	368,069	0	0	
96 Purchases	1,020,876	40,231,719	330,440	10,068,429	
WEEK 97 Sales-Total	1,499,935	65,646,871	824,170	36,252,080	
98 -Short	18,575	1,254,149	0	0	

1/ In odd-lot transactions, customers' purchases are dealers' sales and sales are dealers' purchases.

NOTE: All sales totals include short sales

ODD-LOT CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED			WEEK ENDED			WEEK ENDED			WEEK ENDED		
	AUGUST 3, 1973			AUGUST 10, 1973			AUGUST 17, 1973			AUGUST 24, 1973		
	PURCHASES *****	SALES *****		PURCHASES *****	SALES *****		PURCHASES *****	SALES *****		PURCHASES *****	SALES *****	
ADMIRAL CORPORATION	459	605		144	818		180	727		236	403	
ALLIED CHEMICAL CORPORATION	1,202	6,412		1,086	4,194		1,188	3,872		983	3,059	
ALLIS CHALMERS CORP.	537	659		773	407		643	1,052		3,976	2,171	
ALUMINUM CO. OF AMERICA	732	4,736		579	3,324		498	1,673		601	2,507	
AMERICAN AIRLINES, INC.	7,602	2,420		5,919	2,167		6,439	2,219		6,114	1,802	
AMERICAN BRANDS, INC.	1,971	2,256		2,513	2,410		2,685	3,005		3,005	2,408	
AMERICAN CAN COMPANY	1,356	2,762		1,941	2,819		2,919	3,084		3,299	2,818	
AMERICAN CYANAMID CO.	1,854	3,032		2,879	2,768		4,389	2,729		3,438	2,886	
AMERICAN MOTORS CORP.	2,945	2,003		1,811	1,719		1,811	1,719		2,561	1,401	
AMERICAN TEL. & TEL. CO.	20,307	43,855		24,055	41,859		21,371	41,163		18,181	37,932	
AMF INCORPORATED	1,262	936		1,062	1,109		693	1,158		984	1,028	
AMPEX CORPORATION	906	701		780	1,012		955	658		702	1,078	
ANACONDA COMPANY	339	4,196		555	2,679		325	2,474		362	1,776	
APECO CORPORATION	523	345		409	415		739	420		454	283	
AVCO CORPORATION	394	1,446		1,057	1,086		398	1,445		470	1,156	
AVNET, INC.	528	300		325	968		553	808		392	713	
BETHLEHEM STEEL CORPORATION	1,611	3,629		1,575	3,351		1,804	2,691		2,939	3,458	
BOEING COMPANY	1,288	1,072		875	2,291		493	2,380		2,467	1,507	
BRUNSMICK CORPORATION	3,509	3,879		1,254	2,354		1,081	2,646		849	1,374	
BURROUGHS CORPORATION	2,974	4,676		3,345	3,419		2,445	3,955		1,246	3,917	
CHRYSLER CORPORATION	4,790	5,577		7,366	4,956		8,960	4,009		6,263	5,866	
COLUMBIA GAS SYSTEM, INC.	1,528	2,359		1,658	2,765		1,598	3,217		1,061	2,170	
COMMONWEALTH OIL REFINING CO.	540	1,448		724	1,110		250	1,028		649	693	
COMMUNICATIONS SATELLITE CORP.	518	2,519		516	1,970		383	1,825		604	1,912	
CONSOLIDATED EDISON CO. OF N.	4,047	4,797		8,254	5,953		6,668	6,209		3,899	4,652	
CONTINENTAL AIRLINES, INC.	1,315	694		2,191	678		2,090	1,717		1,089	1,005	
CONTROL DATA CORPORATION	2,180	2,027		1,462	1,979		3,760	2,436		2,343	2,376	
DART INDUSTRIES, INC.	501	1,449		149	1,759		360	1,668		388	2,501	
DDM CHEMICAL COMPANY	2,569	5,127		1,218	3,780		1,229	5,588		1,646	4,744	
DU PONT DE NEMOURS (E.I.) & C	2,114	5,281		2,530	4,294		2,499	5,373		2,788	4,837	
EASTERN AIR LINES, INC.	3,944	1,449		5,989	1,719		4,364	2,060		5,274	2,154	
EASTMAN KODAK COMPANY	10,071	11,808		10,152	10,210		10,042	12,571		9,309	11,337	
ESMARK, INC.	577	1,245		628	689		732	1,019		724	988	
EXXON CORPORATION	6,320	17,619		6,075	16,997		5,912	19,390		5,516	24,887	
FAIRCHILD CAMERA & INSTRUMENT	6,192	6,567		5,977	4,181		2,485	4,263		2,657	3,364	
FAIRCHILD INDUSTRIES, INC.	30	353		334	262		235	76		113	455	
FORD MOTOR COMPANY	7,102	6,293		8,950	5,049		6,583	6,454		7,283	6,161	
GAF CORPORATION	1,569	900		1,047	874		796	1,185		1,670	1,019	
GENERAL DYNAMICS CORP.	168	2,356		206	2,046		108	1,505		66	1,237	
GENERAL ELECTRIC COMPANY	6,434	14,445		7,657	11,912		5,321	13,039		4,412	11,622	
GENERAL FOODS CORPORATION	1,415	2,867		1,667	3,030		2,511	3,792		2,012	2,794	
GENERAL MOTORS CORPORATION	21,169	22,356		28,944	23,346		37,961	23,594		41,637	25,199	
GEN'L TEL. & ELECTRONICS CORP	2,280	11,055		2,686	10,543		2,027	11,780		2,977	8,605	
GOODYEAR TIRE & RUBBER CO.	1,072	2,736		1,158	3,141		1,584	2,973		3,868	3,398	
GREAT WESTERN FINANCIAL CORP	131	433		175	597		175	251		462	381	
GULF OIL CORPORATION	11,150	8,735		10,793	10,549		11,661	8,297		17,996	9,161	
GULF & WESTERN INDUSTRIES	1,053	1,285		639	1,197		596	1,599		503	1,659	
HIGH VOLTAGE ENGINEERING CO.	405	594		19	364		75	269		60	200	
HONEYWELL, INC.	1,528	2,249		2,423	1,731		1,731	1,902		1,268	2,049	
INT'L BUSINESS MACHINES CORP.	19,549	31,123		25,803	30,374		24,799	32,643		20,340	28,732	

ODD-LOT CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
IN 100 SELECTED COMMON STOCKS

HIGH VOLTAGE ENGINEERING CO. 1,528 2,354 2,423 2,249 1,731 1,902 2,059
 HONEYWELL, INC. 19,549 31,123 25,683 30,374 24,799 32,643 20,340 28,732

ODD-Lot CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
 IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED			WEEK ENDED			WEEK ENDED		
	AUGUST 3, 1973			AUGUST 10, 1973			AUGUST 17, 1973		
	PURCHASES	SALES		PURCHASES	SALES		PURCHASES	SALES	
INTERNATIONAL HARVESTER CO.	2,196	3,262	*****	3,383	3,965	*****	6,464	5,569	*****
INT'L NICKEL CO. OF CANADA	197	1,611	*****	351	2,267	*****	220	2,391	*****
INTERNATIONAL PAPER COMPANY	908	2,976	*****	1,288	3,509	*****	672	4,961	*****
ITEK CORPORATION	721	1,740	*****	2,777	2,727	*****	1,178	1,862	*****
JOHNS-MANVILLE CORP.	460	802	*****	1,457	1,355	*****	2,295	1,711	*****
LTV CORPORATION	541	848	*****	445	998	*****	318	681	*****
LEAR STEGLER, INC.	580	1,068	*****	740	1,167	*****	492	721	*****
LITTON INDUSTRIES, INC.	968	4,514	*****	1,000	5,483	*****	949	5,870	*****
MAGNAVOX COMPANY	3,402	2,529	*****	6,920	3,691	*****	2,289	2,673	*****
MARCOR, INC.	690	1,737	*****	1,405	1,818	*****	696	1,996	*****
MARTIN-MARLETTA CORP.	401	873	*****	484	1,415	*****	853	1,540	*****
MCDONNELL DOUGLAS CORP.	727	3,506	*****	903	3,301	*****	980	2,819	*****
MINNESOTA MINING & MFG. CO.	4,137	5,197	*****	3,473	6,102	*****	3,359	5,050	*****
MONSANTO COMPANY	6,114	11,255	*****	3,386	5,177	*****	4,152	4,523	*****
MOTONOLA, INC.	1,651	802	*****	1,684	568	*****	1,123	578	*****
OCCIDENTAL PETROLEUM CO.	3,739	4,310	*****	4,585	5,801	*****	2,174	2,679	*****
OMENS-ILLINOIS, INC.	1,300	1,161	*****	1,068	1,277	*****	1,176	985	*****
PAN AMERICAN WORLD AIRWAYS	3,816	3,029	*****	4,747	2,843	*****	2,791	2,750	*****
PASCO INCORPORATED	8	484	*****	0	356	*****	0	146	*****
PENN CENTRAL COMPANY	575	2,052	*****	766	1,942	*****	361	1,917	*****
PHILLIPS PETROLEUM CO.	4,052	6,888	*****	1,565	7,465	*****	1,353	9,256	*****
POLAROID CORPORATION	2,308	4,613	*****	2,757	3,823	*****	2,799	8,969	*****
PROCTER & GAMBLE CO.	1,476	4,763	*****	1,285	3,959	*****	4,200	2,577	*****
R. J. REYNOLDS INDUSTRIES, IN	2,111	2,568	*****	1,498	2,704	*****	1,964	2,985	*****
RCA CORPORATION	7,631	6,925	*****	6,090	7,756	*****	5,168	6,575	*****
RAYTHEON COMPANY	165	753	*****	178	1,051	*****	322	610	*****
REICHOLD CHEMICALS, INC.	185	279	*****	144	589	*****	224	180	*****
ROCKWELL INT'L. CORP.	731	2,789	*****	1,161	3,232	*****	1,743	3,011	*****
SANTA FE INDUSTRIES	991	1,738	*****	915	1,666	*****	1,418	1,729	*****
SCM CORPORATION	883	1,606	*****	853	1,520	*****	562	1,951	*****
SEARS, ROEBUCK & CO.	5,497	8,520	*****	3,743	8,224	*****	3,829	10,619	*****
SINGER COMPANY	2,452	1,735	*****	2,056	1,707	*****	1,674	2,082	*****
SPERRY RAND CORPORATION	1,897	5,348	*****	2,047	4,713	*****	1,022	5,344	*****
STANDARD OIL CO. OF CALIF.	17,843	5,451	*****	6,372	6,506	*****	8,055	7,380	*****
TELEDYNE, INC.	1,806	3,367	*****	971	2,125	*****	955	3,166	*****
TENNECO, INC.	1,859	3,730	*****	2,779	4,072	*****	6,076	4,560	*****
TEXACO INC.	8,148	9,907	*****	7,178	9,372	*****	14,313	10,034	*****
TEXASGULF INC.	1,694	4,890	*****	688	2,728	*****	791	2,124	*****
TEXAS INSTRUMENTS, INC.	2,598	4,197	*****	2,952	4,917	*****	1,596	3,159	*****
THIokol CHEMICAL CORP.	978	497	*****	391	488	*****	548	440	*****
TRANS WORLD AIRLINES, INC.	2,416	1,329	*****	2,422	1,199	*****	2,033	1,087	*****
UNITED CARBIDE CORP.	5,970	4,440	*****	6,053	3,870	*****	9,046	4,528	*****
UNITED AIRCRAFT CORP.	1,480	1,338	*****	1,381	1,095	*****	1,519	862	*****
UNITED NUCLEAR CORP.	193	773	*****	147	493	*****	246	830	*****
U.S. STEEL CORPORATION	3,056	4,404	*****	3,332	5,516	*****	1,902	5,643	*****
WARNER LAMBERT CO.	2,543	4,014	*****	3,012	3,341	*****	2,084	4,908	*****
WESTERN UNION CORPORATION	9,267	1,715	*****	5,916	1,850	*****	6,962	2,115	*****
WESTINGHOUSE ELECTRIC CORP.	2,625	5,630	*****	3,243	4,963	*****	1,928	4,632	*****
FW WOOLWORTH COMPANY	1,425	2,630	*****	1,114	2,385	*****	1,460	2,744	*****
XEROX CORPORATION	4,407	10,346	*****	3,981	8,532	*****	4,709	10,017	*****
TOTALS ***	304,980	438,129		319,262	417,708		322,195	436,274	
							331,357	421,173	

**ROUND-LOT AND ODD-LOT SHARE VOLUME
ON THE AMERICAN STOCK EXCHANGE
(SHARES)**

Week Ended August 17, 1973

Trade Date 6	Total Round-Lot Transactions 9	Round-Lot Transactions of Members For Own Account ¹			Odd-Lot Transactions Of Customers ² 41
		As Specialists ² 18	As Floor Traders 26	Others 33	
Mon. 81 Purchases	1,625,810	273,815	25,050	102,150	18,940
82 Sales-Total	1,625,810	210,050	33,600	122,693	38,499
83 -Short	33,405	15,000	1,200	5,485	0
Tues. 84 Purchases	1,997,950	392,115	35,350	109,731	21,100
85 Sales-Total	1,997,950	281,235	31,800	153,663	40,912
86 -Short	45,900	20,650	1,700	12,900	50
Wed. 87 Purchases	1,568,475	227,910	24,850	112,950	20,776
88 Sales-Total	1,568,475	300,750	33,850	103,577	35,912
89 -Short	54,355	26,400	3,300	9,900	0
Thurs. 10 Purchases	1,711,375	211,365	30,800	99,400	20,539
11 Sales-Total	1,711,375	295,340	44,450	115,125	42,501
12 -Short	57,100	28,100	11,150	15,200	0
Fri. 13 Purchases	1,531,760	200,050	22,200	123,900	18,060
14 Sales-Total	1,531,760	217,125	27,900	113,259	38,173
15 -Short	38,950	18,050	2,400	10,100	0
WEEK 16 Purchases	8,435,370	1,305,255	138,250	548,131	99,415
17 Sales-Total	8,435,370	1,304,500	171,600	608,317	195,997
18 -Short	229,710	108,200	19,750	53,585	50

¹ The term "members" includes all regular and associate Exchange members, and member firms, general, special and limited partners in member firms, and voting and non-voting stockholders in member corporations.

² On the American Stock Exchange, all odd-lot transactions are handled by specialists, and their round-lot purchases and sales for odd-lot account are not segregated from their other round-lot transactions. In odd-lot transactions, customers' purchases are specialists' sales and customers' sales are specialists' purchases.

NOTE: All sales totals include short sales.

FORM 144 ELLINGS FOR WEEK ENDED 08/31/73

THE FOLLOWING FORMS 144 WERE PROCESSED IN THE SECURITIES/EXCHANGE COMMISSION FOR THE WEEK INDICATED ABOVE. ACCEPTANCE OF FORM 144 DOES NOT CONSTITUTE ANY FINDING THAT IT HAS BEEN FILED AS REQUIRED OR THAT THE INFORMATION SUBMITTED IS TRUE, CURRENT OR COMPLETE.

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
A & E PLASTIK PAK INC CUM DIGITEK CORP	5,600	08/27/73	AUTO GRAPHICS INC CUM MARSHALL CARY A	4,200	08/25/73
TOTAL.....	5,600		TOTAL.....	7,800	
ACS INDS INC CUM LUTJENS HANS	10,000	08/20/73	BACHE & CO INC CUM VENENA ROBERT G	1,000	08/23/73
TOTAL.....	10,000		TOTAL.....	1,000	
ADVENT CORP CUM FIELDER EDWARD	977	08/23/73	BAKER INDS INC CUM DELJE MACHELLE CUST BEV	437	08/30/73
FIELDER ROBERT L	400	08/23/73	DELJE RONALD W	2,349	08/30/73
TOTAL.....	977		DELJE WANDA JANICE	437	08/30/73
AEROSONIC CORP DEL CUM FELGEN ROBERT S	2,600	08/10/73	MILLER HAROLD H	2,000	08/23/73
TOTAL.....	2,600		TOTAL.....	5,223	
ALASKA AIRLIS INC CUM BURNESS LLOYD A	30,000	08/16/73	BANGON PUNTA CORP CUM BOLLES MARY P	7,500	08/31/73
TOTAL.....	30,000		TOTAL.....	7,500	
ALLER GROUP INC CUM STENLING & CO	4,212	08/27/73	BARD C R INC CUM EMERSON HOSPITAL	100	08/23/73
THILL GEORGE H	2,652	08/21/73	TOTAL.....	100	
TOTAL.....	3,864		BARNETT BKS FLA INC CUM TRUST FBO GORDON JILL M	1,000	08/23/73
ALLIED LEISURE INDS INC CUM ALTERMAN ARLENE FAYE	2,500	08/10/73	TRUST FBO GORDON JONATHAN R	2,000	08/23/73
TRUST FBO ALTERMAN HAYLEY	2,500	08/10/73	TRUST FBO GORDON JONATHAN R	1,000	08/27/73
TRUST FBO ALTERMAN JENNIFER	2,500	08/10/73	TRUST FBO GORDON SPENCER B	2,000	08/23/73
TOTAL.....	7,500		TRUST FBO SEGAL BETTY L	1,000	08/23/73
ALCA CORP CUM GULUBY PATRICIA F	2,000	08/23/73	TRUST FBO SEGAL PATRICIA G	1,000	08/24/73
GULUBY STEVEN U	2,000	08/23/73	TRUST FBO SEGAL RICHARD D	1,000	08/23/73
TOTAL.....	4,000		TRUST FBO SEGAL WENDY S	1,000	08/23/73
AMERICAN EXPRESS CO CUM NIGGEMAN LOUIS PETER	192	08/15/73	BENG ENTERPRISES INC CUM KANTOR DAVID	500	07/26/73
NIGGEMAN LOUIS W	2,000	08/15/73	TOTAL.....	500	
TOTAL.....	2,192		BEST PROJ INC CUM DEMOCRATIC STATE HEADQUARTERS	140	08/27/73
AMERICAN HUSP SUPPLY CORP CUM BAIRD ROBERT R	350	08/10/73	TOTAL.....	140	
INMUEBLES E INVERSIONES LATINOAMERICANA CUM LEWETT LYNN TINGHER	25,954	08/15/73	BIO DYNAMICS INC CUM LUND PETER J	9,000	09/01/73
327	08/15/73		TOTAL.....	9,000	
500	08/24/73		BIO KINETICS CORP CUM MARKERT JOHN W	1,000	08/30/73
TOTAL.....	27,131		TOTAL.....	1,000	
AMERICAN REC GROUP INC CUM NEW AMERICA FUND INC	25,760	08/30/73	BLASIS INDS INC CUM NESS MARTIN R	5,000	08/25/73
TOTAL.....	25,760		TOTAL.....	5,000	
AMERICAN REGTEL CORP CUM JOHNSON LELAND E	5,762	08/23/73	BLUEBIRD INC CUM KILROY JOHN B	1,000	09/04/73
TOTAL.....	5,762		TOTAL.....	1,000	
AMERICAN TELECOMMUNICATIONS CUM MULTINATIONAL GROUP INC	2,250	08/20/73	BUNANZA INTL INC CUM LOUISIANA TECH ALUMNI FUND	3,700	08/17/73
TOTAL.....	2,250		LOUISIANA TECH ALUMNI FUND	3,700	08/17/73
AMERICAN TRAINING SVCS INC CUM KIRKIN MARVIN J	7,500	08/08/73	TOTAL.....	7,400	
TOTAL.....	7,500		BOE ALLEN & HAMILTON INC CUM BRUNN JOHN F	11,150	08/24/73
ANALOG DEVICES INC CUM PASTORILIZA JAMES	2,923	08/23/73	TOTAL.....	11,150	
TOTAL.....	2,923		BRENNAN PAIGE INDS INC CUM GROTTA LOUIS W JR	2,000	08/05/73
ANALOGIC CORP CUM CULTON EVAN T	1,000	08/15/73	TOTAL.....	2,000	
TOTAL.....	1,000		BROOKS SCARLOW INC CUM MAY MARVIN C	800	08/20/73
ANHEUSER BUSCH INC CUM BUSCH AUGUST A THIRD	3,600	08/16/73	TOTAL.....	800	
TOTAL.....	3,600		BROWNING FERRIS INDS INC CUM FORD EUGENE F	8,300	09/01/73
ARCHER DANIELS MIDLAND CO CUM ANUKRAS FOUNDATION	11,770	08/23/73	TOTAL.....	8,300	
TOTAL.....	11,770		BURNUP & SINS INC CUM SWAGER JOHN B	15,000	08/24/73
ASTROPHYSICS KESH CORP CUM SUNEL LEE	4,200	08/20/73			

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	TOTAL.....	15,000	CONTRUL LASER CORP COM IVASHUK MICHAEL	TOTAL.....	350
C & K PETE INC COM TILLET M G		1,000 08/21/73			6,498 07/30/73
	TOTAL.....	1,000	COOPER LABS INC COM LINDENMANN FOUND INC	TOTAL.....	6,498
CAMBRIDGE MEMORIES INC COM JUCKEL SUSAN		1,000 08/07/73			5,000 08/21/73
	TOTAL.....	1,000 08/07/73	CURDURA CORP COM VERNAV JOHN F JR	TOTAL.....	5,000
CAMELOT ENTERPRISES INC COM PINCUS SIDNEY		2,000			1,400 08/15/73
	TOTAL.....	3,785 09/25/73	CURNING GLASS WKS COM ESTATE OF AUSTIN DONALD G	TOTAL.....	1,400
CAPITAL CITIES COMMUNICATIONS INC COM DEUTSCH HAROLD K		3,785			800 08/14/73
	TOTAL.....	900 08/22/73	CORROON & BLACK CORP COM SCHMITZ RICHARD D	TOTAL.....	800
ESTATE OF FAICHMILU EDWARD FRANKLIN ROBERT J		12,500 08/24/73			800 08/23/73
	TOTAL.....	1,000 06/01/73	CREATIVE SVC & DEV CORP COM LIFE INVESTORS INTERNATIONAL LTD	TOTAL.....	800
CENVILL GRANTS INC COM DATA LEASE FINANCIAL CORP		14,000			6,000 08/27/73
	TOTAL.....	8,000 08/21/73	CRUMH CORN & SEAL INC COM FAJS HENRY S	TOTAL.....	6,000
CHICAGO BRDG & IRON CO COM LIVEZEY KATHERINE T ANNUITY TRUST		800 08/22/73			1,000 08/24/73
	TOTAL.....	800 08/22/73	CYPRUS MINES CORP COM HARVEY MUDD COLLEGE	TOTAL.....	1,236 08/24/73
LIVEZEY KATHERINE T ENT TRUST		600 08/22/73			1,236
LIVEZEY KATHERINE T MUT TRUST		600 08/22/73	DARON CORP COM HARTEN JAMES F		3,000 08/27/73
TREES EMILY NICHOLS GST TRUST		8,000 08/22/73			7,930 08/23/73
TREES EMILY NICHOLS KTL TRUST		800 08/22/73	THORNBURGH DAVID B FAMILY TRUST	TOTAL.....	10,930
TREES GEORGE ANNUITY TRUST		600 08/22/73			2,900 08/21/73
TREES GEORGE S ENT TRUST		600 08/22/73	DANT & HJSSELL INC COM BISHOPRICK STANLEY	TOTAL.....	2,900
TREES GEORGE S MUT TRUST		20,000			16,333 08/21/73
	TOTAL.....	14,880 08/21/73	DAVDS INC COM KARN MALCOLM B	TOTAL.....	16,333
LUCA COLA CO COM CITY OF ATLANTA		14,880	DEKAFORM INC COM FEIGEN ROBERT S		3,125 08/19/73
	TOTAL.....	469 08/21/73			3,125
COLLINS FOODS INTL INC COM CHARLES ALBERT M		469	DENTO MED INDS INC COM ADER WILLIAM & HELENE	TOTAL.....	2,000 08/21/73
	TOTAL.....	10,000 08/24/73			2,000
COMBINED INS CO AMER COM STUME NORMAN C		10,000	DIAL FILM CORP COM LEVITT RICHARD S FOUNDATION	TOTAL.....	1,000 08/22/73
	TOTAL.....	1,900 08/24/73			10,914 08/13/73
COMBUSTION ENGR INC COM BATESUN MARCO		100 08/21/73	DISNEY WALT PRODS INC COM DISNEY PATRICIA A		10,000 08/17/73
	TOTAL.....	1,500			20,914
COMBUSTION EQUIP ASSOC INC COM AMERICAN CANCER SOCIETY NEVADA DIVISION		200 08/17/73	DUNBAR DEV CORP COM EISNER LILA	TOTAL.....	550 08/22/73
	TOTAL.....	200 08/17/73			550
EASTER SEAL SOCIETY OF NEVADA INC		400	DUN JONES & CO INC COM LESCA SAMUEL C	TOTAL.....	300 08/22/73
	TOTAL.....	6,500 08/15/73			300
COMMERCIAL RES CORP COM PUSHER RICHARD		6,500	URAGE INDS INC COM GOODMAN C R & JEAN D		4,000 08/20/73
	TOTAL.....	3,500 08/13/73			30,600 08/22/73
COMPUTERVISION CORP COM VILLERS PHILIPPE		3,500			34,600
	TOTAL.....	1,000 08/29/73	EARTH SCIENCES INC CL A RISK ROBERT G	TOTAL.....	5,000 08/21/73
COMPUTER MACHY CORP COM ARGELUFF JANN V		1,000			3,000
	TOTAL.....	1,000 08/20/73	ECHO OIL CORP COM LITMAN E N	TOTAL.....	11,200 08/20/73
CONCEPT INC COM TURNER RUT L		1,000			11,200
	TOTAL.....	3,000 08/22/73	ECKERO JACK CORP COM ROBERTS HARRY F		4,000 08/20/73
CONNECTICUT GEN INS CORP COM MUSSELL THOMAS W JR		3,000			
	TOTAL.....	350 08/27/73			
CONTRUL DATA CORP DEL COM KEYE WILLIAM M					

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ELIXIR INDS COM NEW HOPE FOUND OF AMERICA INC	4,000		FRASER JACK C	500	08/23/73
TOTAL.....	4,000		TATUM FARRIS A	2,250	08/23/73
ELPAC INC COM BAILEY RICHARD E SR	5,000	09/01/73	GRANITE MGMT SVCS INC COM THAYER EDWARD	2,750	
TOTAL.....	5,000		TOTAL.....	2,750	
ELSBURG GULU MNG LTD ORD MATZA MENACHEM	56,000	08/22/73	GREAT BASINS PETE CO COM KEYES ROBERT L	8,550	08/24/73
UPHER MICHAEL	55,000		TOTAL.....	8,550	
TOTAL.....	111,000		GREAT EASTN MGMT CORP COM TWIN JACK	35,000	08/10/73
ENVIRONMENT-ONE CORP COM FERRELLI TITUMASINA P & PASQUALE J	3,000	08/28/73	TOTAL.....	35,000	
TOTAL.....	1,000	08/28/73	GREAT LAKES CHEM CORP COM BRUTTIG BERNARD D & DAWN P	3,775	08/15/73
ENVIRONMENTAL SYS INTL INC COM SARNHUFF EDWARD L	4,000		BRUTTIG RICHARD B & GERALDINE S	3,775	
TOTAL.....	2,500		TOTAL.....	20,650	
ENVIRUTECH CORP COM CARDINAL PAUL J JR	3,000	08/24/73	GUARDSMARK INC CL A O'CONNELL MICHAEL K	5,000	08/22/73
FAIN NM IRREVOCABLE TRUST	200	08/20/73	TOTAL.....	5,000	
FAIN PRESENT INTEREST TRUST	400	08/13/73	GULF RES & CHEM CORP COM KRIEBEL EDWARD G	40,300	08/24/73
FUNDATLUM	400	08/13/73	TOTAL.....	40,300	
TOTAL.....	1,400		HALL FRANK B & CO INC COM WELCH JOHN E	500	08/07/73
EVANS & MITCHELL INDS INC COM FERGUSON RICHARD C	1,000	08/22/73	TOTAL.....	500	
TOTAL.....	1,000		HALLIBURTON CO COM HALLIBURTON EMPLOYEES BENEFIT FUND	50,000	08/27/73
FARMERS GROUP INC COM SAINT JOHN OF GOD NURSING HOSPITAL	300	07/25/73	TOTAL.....	50,000	
TOTAL.....	300		HARVEY GROUP INC COM RAPAPORT STEPHEN A	3,375	08/24/73
FIRST BANCSHARES FLA INC COM RUSSIN THOMAS E	2,000	08/22/73	TOTAL.....	3,375	
TOTAL.....	2,000		HERS APPAREL INDS INC COM MELZER STANLEY	800	08/23/73
FISCHBACH & QUERE INC COM STEIN FRED L	3,500	08/22/73	MELZER STANLEY	1,000	08/23/73
TOTAL.....	3,500		MELZER STANLEY	500	08/24/73
FLORIDA WTR & UTILS CO COM KAMPEL CLARISSE B	25,000	08/29/73	HITTMAN CORP COM KAUFMAN WILLIAM N & IRIS F	2,000	09/01/73
TOTAL.....	25,000		TOTAL.....	2,000	
FLOYD ENTERPRISES INC COM ESTRADA GILBERT	7,000	09/01/73	HOCK DRUGS INC COM LINDOP AL N	1,200	08/17/73
TOTAL.....	7,000		TOTAL.....	1,200	
FUOTE MINERAL CO PFD LONY 42.20 WEISSENBERGER G L	12,600	08/22/73	MYCEL INC COM MURAN JOHN J	13,300	08/21/73
TOTAL.....	12,600		TOTAL.....	13,300	
FRUITRONICS INC COM WORNER LAWRENCE E	2,610	08/23/73	INFORMATION INTL INC COM BOSTON HOUSTON CORP	2,000	08/21/73
TOTAL.....	2,610		BOSTON HOUSTON CORP	1,500	08/22/73
FULLER H B CO COM SMITH ADELAIDE A	500	08/02/73	INTEL CORP COM ESTATE OF COCKRELL ERNEST JR	2,000	08/13/73
TOTAL.....	500		MURRELL N JOY	84	08/30/73
FUNTING INC COM GASCOIGNE EARL H	1,000	08/29/73	TOTAL.....	2,084	
LULULU GASPER G	970	08/29/73	INTERCO INC COM FINE CHARLES B	1,000	08/01/73
VANVOURMIS DALE H	1,000	08/29/73	TOTAL.....	1,000	
TOTAL.....	2,970		INTERCONTINENTAL ENERGY CORP COM ABRAMS SALLY	200	08/21/73
GELCO CORP COM CARNEY JAMES F	2,000	08/22/73	TOTAL.....	200	
TOTAL.....	2,000		INTERNATIONAL PAPER CO COM KLUSSMAN WILLIAM P	5,000	09/01/73
GENERAL BINDING CORP COM TRUST FBO JIMMEIN RICHARD ELLIS	7,500	08/23/73	TOTAL.....	5,000	
TOTAL.....	7,500		INTERSIL INC COM SARNHART JJE	1,000	08/22/73
GENESCO INC COM HALL JAMES F	800	08/15/73	GUGGENHIME RICHARD J	800	08/22/73
TOTAL.....	800		PENDLETON WOODEN MILLS INC PROF SHAR TR	1,000	09/01/73
GENLINE PARTS CO COM					

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SUPERVISED INVESTORS GROWTH FUND INC	7,500	08/24/73	TRUST FBO STICKELBER FLORENCE A	1,000	08/20/73
SUPERVISED INVESTORS SUMMIT FUND INC	4,500	08/24/73	TRUST FBO STICKELBER MARION R	1,000	08/20/73
TECHNOLOGY FUND INC	14,000	08/24/73	TRUST FBO STICKELBER MERLIN A	10,000	08/20/73
ITER CORP	28,800		TRUST FBO STICKELBER MERLIN C JR	1,000	08/20/73
CUM MUKKESSEY CLIFFORD E JR	1,800	08/24/73	TRUST FBO STICKELBER PAULA S	1,000	08/20/73
TOTAL.....	1,800		TOTAL.....	15,000	
ITEL CORP			MARLENNAN CORP		
CUM MEYER DONALD J	480	08/22/73	CUM CAVANAUGH WILLIAM T	3,787	08/23/73
TOTAL.....	480		FANT SALLY SCHINNERER	459	08/22/73
JB S BIG BOY FAMILY			FANT SALLY SCHINNERER	37	08/22/73
CUM CORP OF PRES CHURCH OF J W OF LATTER DA	1,050	08/15/73	TOTAL.....	4,283	
KUHNEN WESS SCOTT	1,000	08/10/73	MAY PETE INC		
TOTAL.....	2,050		CUM GERST W DEAN	4,000	08/20/73
JONES R B CORP			TOTAL.....	4,000	
CUM HANDY A WESLEY	6,000	08/19/73	MAYDAY URANIUM INC		
TOTAL.....	6,000		CUM CHRIST PRESBYTERIAN CHURCH	34	08/02/73
KAMPGROUNDS AMER INC			TOTAL.....	34	
CUM EMERT CLAYTON S	1,000	08/24/73	MCDERMOTT J RAY & CO INC		
TOTAL.....	1,000		CUM HENSON JOHN CARTER	1,000	08/24/73
KENNEBY & CUMEN INC			TOTAL.....	1,000	
CUM OMULEI TURAH SCHOOL OF MIAMI INC	9,000	08/22/73	MCDONALDS CORP		
OMULEI TURAH SCHOOL OF MIAMI INC	9,000	08/22/73	CUM MICALE ANTHONY J	4,000	08/27/73
TOTAL.....	18,000		TOTAL.....	4,000	
KIDDE WALTER & CO INC			MEDCOM INC		
CUM KIVAIN SELMA	13,000	08/21/73	CUM FUISZ ROBERT E	20,000	08/24/73
TOTAL.....	13,000		TOTAL.....	20,000	
KIMBERLY CLARK CORP			MEDEQUIP CORP		
CUM PULITZER ALFRED	500	08/22/73	CUM WESTON ROGER L	5,000	08/15/73
TOTAL.....	500		TOTAL.....	5,000	
KULLMURGEN CORP			MERRILL LYNCH & CO INC		
CUM KEMP KENNETH	1,000	08/22/73	CUM SHEERAN ROBERT L	1,000	08/22/73
TOTAL.....	1,000		SHEERAN ROBERT L	1,000	08/22/73
KUSTOM ELECTAS INC			TOTAL.....	2,000	
CUM GREENE TED M	1,500	08/21/73	MIDNITE MINES INC		
GREENE TED M	1,000	08/24/73	CUM LEBRET JAMES V	35,000	08/24/73
TOTAL.....	2,500		TOTAL.....	35,000	
LEADVILLE CORP			MILLIPORE CORP		
CUM SCHAFFER KUDIE M	5,000	08/17/73	CUM BUSH JOHN HATHAWAY	2,500	08/22/73
TOTAL.....	5,000		TOTAL.....	2,500	
LONGCHAMPS INC			MR STEAK INC		
CUM UNITED VENTURE FUND LTD		08/15/73	CUM SHEARON JAMES C	2,000	08/22/73
TOTAL.....			TOTAL.....	2,000	
MGIC INVT CORP			MITCHELL JONES & TEMPLETON INC		
CUM MT SENAKIJ COLLEGE	200	07/27/73	CUM NANKIN SHELTON J	1,500	08/24/73
TOTAL.....	200		TOTAL.....	1,500	
MACRODYNE CHATELON CORP			MONITOR LABS INC		
CUM WINGHELL VERNE M	1,880	08/08/73	CUM PEFFENBACH RICHARD R	788	08/15/73
PFO SER A CUMV 80.50			TOTAL.....	788	
WINGHELL VERNE M		08/08/73	MONSANTO CO		
TOTAL.....	1,880		CUM FERNANDEZ LOUIS	509	08/22/73
MACROSE INDUS CORP			TOTAL.....	509	
CUM CREST SECURITIES CORP	750	08/30/73	MOSTEK CORP		
TOTAL.....	750		CUM HSHW NYINT	2,000	08/27/73
MALLINKRODT CHEM WKS			SHUPBELL MARLIN L	1,000	07/31/73
CL A SCHIEK MARKIS M	18,750	08/22/73	TOTAL.....	3,000	
SHAKTUOT CHARLES M	6,800	08/27/73	MOTION INDUS INC		
TOTAL.....	25,550		CUM HANNER JOE M	1,978	08/21/73
MANHATTAN INDUS INC			TOTAL.....	1,978	
CUM HART W LEWIS	1,000	09/20/73	MURRAY OHIO MFG CO		
TOTAL.....	1,000		CUM DUNIGAN MARY H	400	08/24/73
MAXATHUN OIL CO			TOTAL.....	400	
CUM TURNENCE J WILLIAM	3,200	09/10/73	NATIONAL DATA CORP		
TOTAL.....	3,200		CUM KEAYUN M TIMOTHY	100	07/16/73
MARION CORP			TOTAL.....	100	
CUM TRUST FBO STICKELBER ELIZABETH K	1,000	08/20/73	NATIONAL HEALTH ENTERPRISES		
			CUM PALAFITU HAROLD	11,000	08/24/73

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NEW PRODS CORP COM KUPKE LARRY L	TOTAL.....	11,000	FASULO DOROTHY	TOTAL.....	2,500 08/20/73
NEWPORT PHARMACEUTICALS INTL COM DI PRATT RAMON	TOTAL.....	18,788 08/13/73	RAPIDATA INC COM LAW REALTY OPERATIONS INC	TOTAL.....	2,500
NUCLEONIC PRODS INC COM JOLLES ANTHONY J	TOTAL.....	18,788	OBRIEN R J	TOTAL.....	6,200 08/27/73
OAK INDS INC COM TRUST FBO HARPER CAROLYN L	TOTAL.....	5,407 08/16/73	REDFERN FOODS CORP COM REDFERN E LEE	TOTAL.....	1,300 08/23/73
TRUST FBO HARPER PHILIP S UT 07/13/43		5,407	REPROGRAPHIC MATLS INC COM MOTT STEWART R	TOTAL.....	7,500
TRUST FBO HARPER PHILLIP S & CAROLYN L		5,000 08/15/73	RESEARCH COTTRELL INC COM SUNN FRANKLIN Y K	TOTAL.....	5,000 08/13/73
TRUST FBO HARPER PHILLIP S UT 06/22/61		5,000	ROHN & HAAS CO COM RABIG FREDERICK J	TOTAL.....	5,000
ON LINE SYS INC COM STAIG ROBERT B	TOTAL.....	5,000	RYAN HOMES INC COM RYAN EDWARD M	TOTAL.....	8,400 09/24/73
UPEL CORP COM HALPERN JOHN	TOTAL.....	1,750 08/22/73	RYDER SYS INC COM DELUZIER JEANNE M	TOTAL.....	8,400
OPTICAL COATING LAB INC COM ILLISLEY ROLF F	TOTAL.....	1,750 08/22/73	RYLAND GROUP INC COM JENKINS RICHARD H	TOTAL.....	2,000 08/27/73
P E & INDS INC COM HILL NATHAN HILL NATHAN	TOTAL.....	1,750 08/22/73	ST REGIS PAPER CO COM ESTATE OF DUFFY PHILIP B	TOTAL.....	2,000
MACKEY GEORGE		2,750 08/22/73	SANSONITE CORP COM TRUST FBO SKAWYER KEITH R	TOTAL.....	400 03/12/73
PAINE WEBBER JACKSON & CURTIS COM CANEY BARBARA L	TOTAL.....	6,000	SANITAS SVC CORP COM FIERBERG I MARTIN	TOTAL.....	400
DEPLANQUE NORMAN		2,400 08/27/73	TWEN ROBERT P	TOTAL.....	10,000 08/30/73
MCMUGH ROBERT A		2,400	SANTA FE INTL CORP COM JUMNSUN JOHN P	TOTAL.....	10,000
P&C ISRAEL EDWIN MIL CORP COM LEIB MERRIN INC	TOTAL.....	2,400 08/15/73	RUBINSUN J O	TOTAL.....	500 09/15/73
PERTEC CORP COM KURTH HAROLD A	TOTAL.....	1,000 08/24/73	SAVIN BUSINESS MACHS CORP COM LOW ROBERT K	TOTAL.....	500
PETROLANE INC COM PRICE JERRY MARIE LEVY	TOTAL.....	1,000	SAVIN BARBARA LOW	TOTAL.....	3,500 08/27/73
PHILLIPS VAN HEUSEN CORP COM TRUST FBO NALHMAN JOSEPH	TOTAL.....	500 08/22/73	SCUTTS LIQUID GOLD INC COM MAKOWSKI EDGAR L	TOTAL.....	3,500
PICKWICK INTL INC COM LUNSON IRVIN	TOTAL.....	500 08/22/73	SEA CONTAINERS INC COM KLEE FUND	TOTAL.....	10,031 08/27/73
LAMPE LAMAR		2,500 08/21/73	SEALED AIR CORP COM BARCLAY LORNE R	TOTAL.....	3,924 08/15/73
POML JOHN JR		2,000 08/23/73	SEISCOM DELTA INC COM MANTEL SCHIFRA	TOTAL.....	13,955
UNIV OF HEALTH SCIENCES/ CHICAGO MEDICI		5,500	SMITH INTL INC COM CORY PATRICK E & JANET C	TOTAL.....	500 08/25/73
PIECE WOODS SHOPS INC COM MURGAN JAMES HANLY JR	TOTAL.....	1,000 08/23/73	GRAHAM DONALD E	TOTAL.....	3,000 08/27/73
PIZZA LUMP ANEK COM KRAELHER ROBERT L	TOTAL.....	30,000 08/13/73	NEELY NANCY C	TOTAL.....	10,000 08/27/73
ORUNKER ALBERT J JR		30,000	WILLIAMS DAVID	TOTAL.....	8,000 07/27/73
PUREX LTD COM LUDWIS GEORGE	TOTAL.....	939 08/17/73	SNAP ON TOOLS CORP	TOTAL.....	18,000
RADIATION TECHNOLOGY INC COM	TOTAL.....	939			11,000 08/17/73
		1,000 08/27/73			11,000
		1,000			703 08/20/73
		2,352 08/28/73			700 08/20/73
		9,692 08/28/73			1,403
		18,424 08/20/73			1,000 09/15/73
		200 08/22/73			1,000
		30,668			1,000 08/23/73
		1,400 08/24/73			1,000
		1,400			4,100 08/22/73
		2,000 09/15/73			25,000 08/22/73
		1,000 08/27/73			5,000 08/22/73
		3,000			664 08/14/73
		3,015 08/01/73			34,764
		3,015			

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CUM HEINICHS ANITA V	1,000	07/20/73	UNIMET CORP CUM FIELDS DJUGLAS P	4,000	08/27/73
TOTAL.....	1,000		TOTAL.....	4,000	
SPACELABS INC CUM GRAHAM GEORGE MARVIN JR	1,500	08/30/73	UNISHOPS INC CUM SARDWITZ MARTIN	55,550	08/24/73
TOTAL.....	1,500		TOTAL.....	55,550	
SPECIALTY COMPOSITES CORP CUM BANK OF BABYLON	3,000	08/30/73	UNITED BKS COLO INC CUM HERSHNER JOHN D	50,000	08/22/73
TOTAL.....	3,000		TOTAL.....	50,000	
SPIRAL METAL INC CUM KAPLAN JACK	23,250	09/04/73	UPJUN CO CUM KALAMAZOO FOUND	150	02/14/73
TOTAL.....	23,250		TOTAL.....	150	
STELBER INDS INC CUM BARKIS GEORGE	2,000	08/20/73	VERIT INDS CUM ABE GEORGE Y	2,000	07/15/73
TOTAL.....	2,000		TOTAL.....	2,000	
STORAGE TECHNOLOGY CORP CUM ANDERSON LAWRENCE B	2,600	08/30/73	VIACOM INTL INC CUM IGLEHART J A W	5,500	08/06/73
USA ASSET MANAGEMENT CO	6,150	08/24/73	TOTAL.....	5,500	
TOTAL.....	6,750		VICTORIA STA INC CUM LUMMIS EDWARD F JR	2,000	08/27/73
SYSO CORP CUM HALL ROBERT E	800	08/22/73	TOTAL.....	2,000	
HALL WILLIAM L	2,000	08/20/73	VIDEO SYS CORP CUM CAMPBELL DAVID H	4,250	08/27/73
KIMBALL MARJORIE B	1,000	08/27/73	TOTAL.....	4,250	
TOTAL.....	3,500		VISUAL SCIENCES INC CUM CONSTANTINOU PERICLES	6,000	08/21/73
TERKUNIX INC CUM KHIGER RICHARD R	500	08/13/73	TOTAL.....	12,700	08/25/73
TOTAL.....	500		MULLEN RICHARD L	18,700	
TELEO MARKETING SVCS INC CUM GRUBERG JAMES J	2,500	08/17/73	WAL MART STORES INC CUM SCHULTZ ALVIN SR & LOUISE	1,834	08/24/73
GRUBERG JAMES J	2,500	08/17/73	TOTAL.....	1,834	
TOTAL.....	5,000		WELLS NATL SVCS CORP CUM CENTRAL NATIONAL CORP	5,778	08/23/73
TELEFLEX INC CUM MULNAR W S CO	2,426	08/24/73	TOTAL.....	1,156	08/23/73
TOTAL.....	2,426		GUTTESMAN CENTRAL NATIONAL PROFIT SHARE	6,934	
TELESCIENCES INC CUM BRANDES GEORGE A	4,520	08/10/73	WESTINGHOUSE ELEC CORP CUM BENTON ELLY B	200	08/15/73
CUMEN FRED	5,000	08/21/73	TOTAL.....	200	
MURGIO JOSEPH M	5,280	08/10/73	WESTON INTL CORP CUM MUONEY JEAN B	7,500	08/22/73
TOTAL.....	14,800		TOTAL.....	7,500	
TEXAS COMM BANCSHARES INC CUM JAPHET CURTIS B	13,000	08/21/73	MEYERHAEUSER CO CUM CARPUS CRUSADE FOR CHRIST INTERNATIONAL	100	07/27/73
JAPHET ERNEST C	20,000	08/21/73	TOTAL.....	100	
TOTAL.....	33,000		WHITE SHIELD CORP CUM VANDERHUYT JACK	18,215	08/13/73
THRESHOLD TECHNOLOGY INC CUM PULISH JACK B	9,170	08/21/73	TOTAL.....	18,215	
SLUTSKY RICHARD	4,000	08/13/73	WILSHIRE OIL CO TEX CUM SANDFORD ADDISON L	21,216	08/10/73
TOTAL.....	13,170		TOTAL.....	21,216	
TRANS DELTA CORP LL A KAYE RICHARD I	5,600	08/10/73	WORCESTER Ctls CORP CUM ROCHE MICHAEL C & MURIEL	300	08/24/73
TOTAL.....	5,600		TOTAL.....	300	
TRANSPORT POOL CORP CUM COMMUNITY HOSPITAL INC	1,000	11/15/73	ZOECUM CORP CUM DERK MILWED L	311	08/22/73
TOTAL.....	1,000		TOTAL.....	311	
TULLY CORP VA CUM CUNIKUY MAXIAN T	1,000	08/23/73			
TOTAL.....	1,000				
ULTIMATEC SYS INC CUM DIAMANTE FRANK M	500	08/21/73			
GENUTH WOLF	3,000	08/23/73			
SCHWARTZ LEONARD	2,500	08/20/73			
WEINSTOCK WENDE U	1,200	08/15/73			
TOTAL.....	7,200				
UNICAME HEALTH SVCS INC CUM VERGM CO	9,500	08/27/73			
TOTAL.....	9,500				

FORM 8-K FILINGS AND AMENDMENTS PROCESSED FOR THE WEEK ENDED 09/31/73 1/

THE COMPANIES LISTED BELOW HAVE FILED REPORTS ON FORM 8-K FOR THE PERIODS INDICATED, RESPONDING TO THE ITEM NUMBERS SHOWN IN THE COLUMN. AMENDMENTS TO REPORTS PREVIOUSLY FILED ARE ALSO LISTED, IDENTIFYING THE ITEM OR ITEMS AMENDED. PHOTOCOPIES OF SUCH REPORTS AND AMENDMENTS MAY BE PURCHASED FROM THE COMMISSION'S PUBLIC REFERENCE SECTION (IN ORDERING, PLEASE GIVE MONTH AND YEAR OF REPORT).

NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT	NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT
AIR MICHIGAN INC	13	07/73	DEVELOPMENT INTERNATIONAL CORP	9,14	08/73
ALPHANUMERIC INC	2,7,14	11/72	DIAGNOSTIC INC	12	07/73
ALPHANUMERIC INC	2,7,13,14	12/72	DIAL FINANCIAL CORP	7	07/73
AMELCO CORP	10,14	07/73	DIVERSIFIED EARTH SCIENCES INC	2,9,14	07/73
AMERICAN FAMILY LIFE ASSURANCE CO OF COL	13	07/73	DIVERSIFIED INDUSTRIES INC	1,13,14	07/73
AMERICAN RECREATION GROUP INC	7,14	08/73	DUPLEX PRODUCTS INC	7,13	07/73
AMERICAN TELECOMMUNICATIONS CORP	2,14	07/73	DUPONT E I DENEMOURS & CO	14	07/73
APPLIED FLUIDICS INC	13	04/73	EMPIRE APPAREL STORES INC	1,13,14	07/73
APPLIED LOGIC CORP	13,14	07/73	EMPIRE EQUITIES INC	2,7,13,14	07/73
ARGONAUT ENERGY CORP	2,7	07/73	EUREKA PRODUCTS CO	12,14	01/73
ARLEN REALTY & DEVELOPMENT CORP	4,7,11,14	07/73	EVANS & MITCHELL INDUSTRIES INC	7,14	07/73
ATLAS HOTELS INC	13,14	07/73	EXCELSIOR INSURANCE CO OF NEW YORK	7	11/72
ATWOOD OCEANICS INC	13	06/73	EXCELSIOR INSURANCE CO OF NEW YORK	11,14	05/73
ATWOOD OCEANICS INC	13	07/73	FAIRFIELD GENERAL CORP	13	07/73
AVM CORP	10,14	05/73	FARMLAND INDUSTRIES INC	7,8	07/73
BANCO NACIONAL DE OBRAS Y SERVICIOS PUBL	13	07/73	FIRST LINCOLN FINANCIAL CORP	1	07/73
BANCSHARES OF NORTH CAROLINA INC	10,14	03/73	FIRST PENNSYLVANIA MORTGAGE TRUST	13,14	07/73
BANK SECURITIES INC	7	07/73	FONTAINE BROTHERS INC	8	07/73
BARRIE MILTON & CO INC	2,7,14	07/73	FREEMAN CARL W ASSOCIATES INC	11,14	05/73
BAYUK CIGARS INC	13	07/73	FRESH GUARANTEE SAVINGS & LOAN ASSOCIAT	1,14	07/73
BCC INC	11	07/73	FUNDING SYSTEMS CORP	11,12,14	07/73
BEECH CREEK RAILROAD CO	3	07/73	GAC CORP	3,6,13	07/73
BELL FUNDING CORP	7	07/73	GENERAL TELEPHONE CO OF FLORIDA	7	07/73
BELMONT INDUSTRIES INC PENNSYLVANIA	13,14	07/73	GENERAL TELEPHONE CO OF PENNSYLVANIA	7,14	02/73
BENEFICIAL NATIONAL CORP	11	07/73	GENESCO INC	10,14	07/73
BENEFICIAL NATIONAL LIFE INSURANCE CO	11	07/73	GRAND ISLAND OVERLAND CO	11,14	07/73
BLAKE STEPHENS & KITTREIDGE INC	13	07/73	GREAT NORTHERN MANAGEMENT CO INC	3	04/73
BRISTOL PRODUCTS INC	11,13,14	05/73	HECLA MINING CO	13,14	08/73
BROOKLYN UNION GAS CO	7,13,14	07/73	HIGHTS FINANCE CORP	8	07/73
CANAVARAL INTERNATIONAL CORP	13,14	07/73	HERITAGE BANCORPORATION	11	04/73
CAPITAL RESERVE CORP	10	07/73	HOSKINS MANUFACTURING CO	1,3	07/73
CENTRAL BANKING SYSTEM INC	13	07/73	HOUSTON NATURAL GAS CORP	13	07/73
CENTRAN BANCSHARES CORP	13	07/73	HUCK MANUFACTURING CO	10,14	07/73
CENTURY FINANCIAL CORP OF MICHIGAN	13	07/73	INTERMOUNTAIN LABORATORIES INC	11,14	07/73
CENWILL COMMUNITIES INC	2,14	07/73	INTERNATIONAL MINING CORP	13	08/73
CHATTER DRUG & CHEMICAL CO	13	07/73	INTERNATIONAL RECTIFIER CORP	13,14	07/73
CHICAGO ROCK ISLAND & PACIFIC RAILROAD C	13,14	03/73	INVESTORS DIVERSIFIED SERVICES INC	3,7,13,14	07/73
CITIZENS FINANCIAL CORP	12,14	07/73	KEYSTONE CUSTODIAN FUNDS INC	2	07/73
CITY INVESTING CO	11	07/73	KNUDSEN CORP	3	07/73
CLEVELAND & PITTSBURGH RAILROAD CO	3	07/73	LAFAYETTE FUNDS GAS & OIL INCOME PROGRAM	7,14	07/73
CLEVELAND CINCINNATI CHICAGO & SAINT LOU	3	07/73	LEADERSHIP HOUSING INC	9,14	07/73
COASTAL STATES GAS CORP	3,13,14	07/73	LEISURE GROUP INC	3	07/73
COASTAL STATES GAS PRODUCING CO	3,13,14	07/73	LEXITRON CORP	3	07/73
CORNU INC	10	07/73	LINGCO CORP	13	07/73
CORPU INDUSTRIES INC	7	07/73	LINCOLN TOWER CORP	13	08/73
COMPREHENSIVE COMMUNITIES CORP	13,14	07/73	LISTFAX CORP	13,14	07/73
COMPUTER COMMUNICATIONS INC	13,14	07/73	LITTON INDUSTRIES INC FOUNDATION OF THE	NO ITEMS	03/73
CORONA CORP	8,10,14	07/73	LSL CORP	13	07/73
CORNWALL INTERNATIONAL INDUSTRIES INC	12	05/73	LUDLOW INDUSTRIES INC	8	06/73
CURTIS HUNTER CORP	13	08/73	MACADYNE CHATELON CORP	2	07/73
D TEKMANATIC CORP	13	07/73	MANHATTAN CONTINENTAL DEVELOPMENT CORP	13,14	07/73
DATA INSTRUMENTS CO	13	07/73	MARATHON OIL CO	3,7,14	07/73
DATA LEASE FINANCIAL CORP	13	07/73	MAULE INDUSTRIES INC	1,2,7,8,13	07/73
DATA PRUBE INC	2	12/72	MAY EXPLORATION VENTURES INC	NO ITEMS	02/71

NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT	NAME OF ISSUER	RESPONSE TO ITEM	DATE OF REPORT
MAY EXPLORATION VENTURES INC	NO ITEMS	06/72 TIME HOLDING CO INC	12	06/73	
MAYFLOWER CORP	2,7,14	06/73 TOPSYS INTERNATIONAL INC	8	08/73	
MEDICAL INVESTMENT CORP	7	07/73 TRACOR INC	10,14	07/73	
MERIDIAN INDUSTRIES INC	7	05/73 TRANSCO REALTY TRUST	1,7,9	07/73	
METRIX DATA SYSTEMS INC	7	07/73 TRIUMPH INDUSTRIES INC	2	07/73	
MICHIGAN CENTRAL RAILROAD CO	3	07/73 TWENTIETH CENTURY FOX FILM CORP	10,14	07/73	
MILLER INDUSTRIES INC	2,14	04/73 UNION OIL CO OF CALIFORNIA	3,9	07/73	
MISSISSIPPI VALLEY GAS CO	7,14	07/73 UNITED EQUITABLE CORP	7	03/73	
MISTER STEAK INC	2	07/73 UNITED NEW JERSEY RAILROAD & CANAL CO	3	07/73	
MODULE SYSTEMS & DEVELOPMENT CORP	13	07/73 UNITED STATES Gypsum CO	3	07/73	
MOUNTAIN EQUITIES INC	1,3	07/73 USP REAL ESTATE INVESTMENT TRUST	2,7,14	07/73	
MRI PROPERTIES INC	7,14	07/73 VACU DRY CO	13	07/73	
NARRAGANSETT ELECTRIC CO	3	07/73 VAGABOND REAL ESTATE EQUITIES	13	07/73	
NATIONAL ALFALFA DEHYDRATING & MILLING C	11,13,14	07/73 VALMAC INDUSTRIES INC	2,11,14	3/73	
NATIONWIDE NURSING CENTERS INC	13,14	07/73 VANIER GRAPHICS CORP	11	08/73	
NEW YORK ASSET MANAGEMENT INC	2,14	01/73 VARIAN ASSOCIATES	7,8	07/73	
NON COMMISSIONED OFFICERS LIFE INSURANCE	1,13	07/73 WABASH MAGNETICS INC	4,7,14	09/68	
NORTH PLATE STATE CO	11,14	07/73 WABASH MAGNETICS INC	7,14	09/70	
NORTHERN CENTRAL RAILWAY CO	3	07/73 WESTERN DECALTA PETROLEUM LTD	1	07/73	
NORTHGATE EXPLORATION LTD	13	06/73 WESTERN FIDELITY CORP	2	07/73	
NUCLEAR SYSTEMS INC	7	08/73 WESTERN PACIFIC FINANCIAL CORP	13,14	07/73	
OCEANOGRAPHY MARICULTURE INDUSTRIES INC	3,7,14	08/73 WIGMAN STORES INC	13	06/73	
OMEGA ALPHA INC	7,14	07/73 WIGMAN STORES INC	14	07/73	
PALL CORP	4,7,8,9,14	07/73 WINDSOR NUCLEAR INC	2,10	06/73	
PAVELLE CORP	3,14	07/73 WINSTON N K CORP	2,3,11,13	07/73	
PENNGUIN LOUISIANA & TEXAS OFFSHORE INC	2	07/73 WINTERS NATIONAL CORP	2,13,14	07/73	
PEOPLES GAS CO	13	07/73 WISCONSIN ELECTRIC POWER CO	3	07/73	
PHILADELPHIA BALTIMORE & WASHINGTON RAIL	3	07/73 WISCONSIN POWER & LIGHT CO	3,13	07/73	
PHILIPSBORN INC	4,7,12,14	07/73 WORLD AIRWAYS INC	2,14	07/73	
PITTSBURGH FULTON WAYNE & CHEMUNO RAILWAY	3	07/73 XOMOX CORP	11	07/73	
PITTSBURGH YOUNGSTOWN ASHTABULA RAILWAY	3	07/73 ZERO MANUFACTURING CO	4,14	07/73	
PLASTICS DEVELOPMENT CORP OF AMERICA	7,14	06/73			
PORTLAND GENERAL ELECTRIC CO	4,7,14	07/73			
PROVIDENTS BENEFIT CO	1,3,13,14	AMENDMENTS TO REPORTS ON FORM 8-K			
PULASKI FURNITURE CORP	7,8,14	07/73 ATLANTIC RICHFIELD CO	7,14	06/73	
QUALITY DATA PROCESSING INC	13	06/73 CONSUMERS WATER CO	2,14	07/73	
QUALITY DATA PROCESSING INC	13	06/73 COUNTRY KITCHEN INTERNATIONAL INC	3	04/73	
RED FLOW STORES INC	8	07/73 DYNASONICS CORP	10	06/73	
SCHICK INC	13,14	03/73 FIRST GREATWEST CORP	11	07/73	
SEA CONTAINERS INC	7,14	07/73 FLAVORLAND INDUSTRIES INC	13	07/73	
SERVICE MERCHANDISE CO INC	2,13,14	07/73 FLEETWOOD ENTERPRISES INC	14	06/73	
SLATER WALKER OF AMERICA LTD	11,14	07/73 FROSTBANK CORP	2,4,7,13,14	05/73	
SN PARTNERS LTD	2,7,14	07/73 GRAHAM STUART CORP	1,2,14	01/73	
SOUNDSCRIBER CORP	8	07/73 GREAT SOUTHWEST CORP	3,14	06/73	
STANDARD PRODUCTS CO	7,10,14	07/73 HARTE HANKS NEWSPAPERS INC	10,14	06/73	
STANUEA INTERNATIONAL CORP	11,14	07/73 HIGHLAND TELEPHONE CO	14	07/73	
STANDARD DEVELOPERS INC	13,14	07/73 INTERNATIONAL HARVESTER OVERSEAS CAPITAL	10	07/73	
STELBER INDUSTRIES INC	7	07/73 MID CONTINENT INDUSTRIES INC	2,3,10,14	04/73	
SUBURBAN BANCORPORATION	3	06/73 MOTOROLA INC	14	05/73	
SUN OIL CO	3	05/73 PREMIER ATHLETIC PRODUCTS CORP	1,7,9,14	04/73	
SYNETRICS INDUSTRIES INC	2,11	07/73 RUSH INDUSTRIES INC	14	04/73	
SYNMAK INC	6,7,8,13,14	07/73 SENECA FOODS CORP	14	03/73	
TCM SERVICE INC	13	07/73 SONESTA INTERNATIONAL HOTELS CORP	3,9,13	07/73	
TECHNICAL OPERATIONS INC	7,14	07/73 SYNETRICS INDUSTRIES INC	13	07/73	
TELEPRINTER CORP	7,13	07/73			
TENNECO INC	7,14	06/73			
TEXAS ELECTRIC SERVICE CO	3	07/73			
TEXSTAR CORP	4,7,8,14	07/73			

RECENT REGULATION 13D ACQUISITION REPORTS. Statements containing the information required by Schedule 13D have been filed pursuant to Rule 13d-1 of the General Rules and Regulations under the Securities Exchange Act of 1934 by the beneficial owners listed below. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner.

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER & NUMBER OF SHARES</u>	<u>DATE FILED</u>
Time Inc.	Sterling Communications, Inc. Common Stock - 4,669,326 shs. Common Stock - 143,267 shs. on conversion of Demand Note	8-13-73
John L. Notter	American Savings & Loan Assn. Guarantee Stock - 12,027 shs.	8-16-73
D. K. Ludwig	American Savings & Loan Assn. Guarantee Stock - 598,500 shs.	8-16-73
Eastern International Investment Trust Ltd.	GRI Computer Corp. Common Stock - 250,000 shs.	8-20-73
Berman, Kalmbach & Co., Merridohn Partners, and HJF Associates (Partnerships) Dohn L. Kalmbach and Merrill C. Berman (Individuals)	H & R Block Inc. Common Stock - 630,975 shs.	8-22-73
Wallace E. Carroll	Chicago Helicopter Industries, Inc. Common Stock - 61,880 shs.	8-23-73
Wilmington Securities, Inc. *	Shakespeare Co. Common Stock - 500,000 shs.	8-23-73
James E. Bent	Ryerson & Haynes Common Stock - 100,000 shs.	8-23-73
Younker Brothers, Inc.	Paul Harris Stores, Inc. Common Stock - 42,300 shs.	8-23-73
Stromberg-Carlson Corp., a wholly owned subsidiary of General Dynamics Corp.	Century Telephone Enterprises, Inc. Common Stock - 150,000 shs.	8-24-73
Robert W. and Jane C. Hughes	Data Trends, Inc. Common Stock - 149,549 shs. Common Stock - 60,000 shs. on exercise of Warrants Common Stock - 160,000 shs. on conversion of Preferred	8-24-73

<u>REPORTING COMPANY OR INDIVIDUAL</u>	<u>ISSUER & NUMBER OF SHARES</u>	<u>DATE FILED</u>
Gulf & Western Industries, Inc.	Amfac, Inc. Common Stock - 751,800 shs.	8-24-73
Joseph S. Annino et al *	Consolidated Analysis Centers, Inc. Common Stock - 117,331 shs. Common Stock - 96,159 shs. on exercise of Options	8-24-73
Norbat Corp., * a wholly owned subsidiary of Northwest Industries, Inc.	General Battery Corp. Common Stock - 2,287,508 shs.	8-24-73
Utilities & Industries Corp. *	Colonial Sand & Stone Co., Inc. Common Stock - 2,438,609 shs.	8-24-73
Gulf & Western Industries, Inc. *	Bulova Watch Company, Inc. Common Stock - 464,700 shs.	8-24-73
Ball Corp.	Tally Corp. Common Stock - 1,597,559 shs. on exercise of Warrants	8-24-73
Isadore Diamond	DCS Financial Corp. Common Stock - 487,143 shs.	8-27-73
Ronald J. Speyer	DCS Financial Corp. Common Stock - 90,000 shs.	8-27-73
Nettie Vigman	DCS Financial Corp. Common Stock - 90,000 shs.	8-27-73
Geiger & Fialkov	Scanforms, Inc. Common Stock - 163,666 shs.	8-27-73
Security Pacific National Bank	KDI Corp. Common Stock - 225,260 shs. on conversion of Debentures	8-27-73
Stephen Klein et al	Barton's Candy Corp. Common Stock - 327,900 shs. Common Stock - 15,000 shs. on exercise of Options	8-27-73
Sears, Roebuck and Co. Allstate Insurance Co.	Sierra Pacific Power Co. Common Stock - 343,024 shs.	8-27-73
Sears, Roebuck and Co.	El Paso Electric Co. Common Stock - 357,700 shs.	8-27-73

* Amended Acquisition Report

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REPORTING COMPANY
OR INDIVIDUAL

ISSUER & NUMBER OF SHARES

DATE FILED

Whittaker Corp.	Yardney Electric Corp. Common Stock - 3,912,452 shs.	8-27-73
American Mutual Liability * Insurance Co.	Eldorado General Corp. Common Stock - 759,475 shs.	8-27-73
Fifth Avenue Coach Lines, Inc. *	Elgin National Industries, Inc. Common Stock - 177,000 shs.	8-27-73
Star-Kist Foods, Inc. *	Ocean Fisheries, Inc. Common Stock - 291,412 shs.	8-28-73
Trafalgar House Investments Ltd. *	Dearborn-Storm Corp. Common Stock - 1,295,389 shs.	8-28-73
Arthur L. Carter Richard Graham	Carter Group Inc. Common Stock - 367,614 shs. Common Stock - 100,000 shs. on execution of agreement Common Stock - 13,400 shs. on conversion of Debentures	8-28-73
Carter Group Inc. *	Utilities & Industries Corp. Common Stock - 766,942 shs.	8-28-73
Briarcliff Candy Corp.	Medallion Leisure Corp. Common Stock - 600,000 shs.	8-29-73
Briarcliff Candy Corp.	Health-Chem Corp. Common Stock - 40,000 shs.	8-29-73
Arnold E. Johns, Jr.	Southland Investment Corp. Common Stock - 51,062 shs. Common Stock - 38,500 shs. on exercise of Options	8-29-73

* Amended Acquisition Report

RECENT REGULATION 14D TENDER OFFERS. Statements containing the information required by Schedule 13D have been filed pursuant to Rule 14d-1 of the General Rules and Regulations under the Securities Exchange Act of 1934 by the companies listed below.

<u>OFFER TO PURCHASE BY:</u>	<u>ISSUER AND NUMBER OF SHARES TO BE TENDERED:</u>	<u>DATE FILED</u>
Golden Nugget, Inc.	Golden Nugget, Inc. Common Stock - 200,000 shs. for cash at \$7.50 net per share	8-23-73

MARKET VALUE AND VOLUME OF SALES ON UNITED STATES SECURITIES EXCHANGES
(ALL DATA ARE IN THOUSANDS)

		STOCKS			BONDS			WARRANTS			RIGHTS	
		TOTAL MARKET \$VALUE	\$VALUE	SHARES	\$MARKET	PRINCIPAL	\$VALUE	UNITS	\$VALUE	UNITS	\$VALUE	UNITS
1972	JULY	14,637,541	13,914,609	427,005	632,674	679,822	85,778	9,282	4,480	8,768		
1972	AUGUST	18,419,872	17,596,016	524,595	723,487	775,829	99,191	10,300	1,182	3,918		
1972	SEPTEMBER	12,783,353	12,182,759	366,792	525,258	580,918	70,374	7,948	4,962	10,911		
1972	OCTOBER	15,561,873	14,809,930	461,370	676,383	747,693	74,992	8,618	569	2,811		
1972	NOVEMBER	19,600,944	18,540,000	556,304	935,611	989,329	124,332	12,816	997	654		
1972	DECEMBER	18,792,704	17,856,240	547,422	807,450	866,542	128,541	13,745	471	109		
1973	JANUARY	19,913,024	18,926,416	565,387	841,208	952,195	144,884	15,495	510	4,447		
1973	FEBRUARY	15,895,994	15,061,807	446,376	734,022	790,095	99,592	14,420	572	478		
1973	MARCH	17,354,672	16,486,175	519,226	783,467	869,208	83,738	10,012	1,308	10,305		
1973	APRIL	13,742,894	12,878,376	407,506	781,703	923,557	80,786	8,834	2,030	12,283		
1973	MAY	15,669,631	14,931,255	474,918	645,898	738,593	91,909	11,789	569	1,150		
1973	JUNE	12,769,567	12,084,650	409,344	615,346	725,338	68,385	10,223	1,187	7,361		
1973	JULY	12,584,940	11,927,451	398,904	604,888	701,326	51,997	7,538	605	1,230		

BREAKDOWN FOR JULY, 1973

* AMERICAN STOCK EXCHANGE	702,647	649,440	46,137	23,976	34,830	29,222	4,312	9	12
* BOSTON STOCK EXCHANGE	126,944	126,935	2,985	0	0	9	2	0	0
* CHICAGO BOARD OF TRADE	0	0	0	0	0	0	0	0	0
* CINCINNATI STOCK EXCHANGE	8,304	8,304	220	0	0	0	0	0	0
* DETROIT STOCK EXCHANGE	28,852	28,850	799	0	0	0	0	0	0
* MIDWEST STOCK EXCHANGE	594,245	593,801	17,013	28	69	2	+	+	+
* NATIONAL STOCK EXCHANGE	1,265	1,264	465	0	0	12	2	404	64
* NEW YORK STOCK EXCHANGE	10,315,349	9,716,603	305,792	579,429	663,749	19,126	2,603	191	1,154
* PACIFIC COAST STOCK EXCHANGE	486,807	482,334	15,444	1,447	2,664	3,026	536	0	0
* PHILA.-BALT.-WASH. STOCK EXCHANGE	319,646	319,038	9,021	9	13	599	81	+	+
* INTERMOUNTAIN STOCK EXCHANGE	66	66	245	0	0	0	0	0	0
* SPOKANE STOCK EXCHANGE	817	817	785	0	0	0	0	0	0

MARKET VALUE AND VOLUME OF SALES ON ALL EXEMPTED SECURITIES EXCHANGES
(ALL DATA ARE IN THOUSANDS)

1973	JULY	171	171	21	0	0	0	0	0
BREAKDOWN FOR JULY, 1973									
HONOLULU STOCK EXCHANGE	171	171	21	0	0	0	0	0	0

NA = NOT AVAILABLE

R = REVISION OF PREVIOUSLY PUBLISHED DATA

+ = GREATER THAN ZERO BUT LESS THAN 500

SEE EXPLANATORY NOTES ON PAGE 12

EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933

(Amounts in Millions of Dollars)

	1972	1973	1972			1973		
	January-July	January-July	July	June	July	June	July	July
EFFECTIVE REGISTRATIONS.....	2,204	1,787	301	266	252			
TOTAL DOLLAR AMOUNT.....	40,226	33,719	5,608	4,089	3,728			

	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount
PRIMARY CORPORATE OFFERINGS	1,106	17,696	570	13,353	132	2,569	71	2,081	57	1,294
Bonds, notes and debentures.....	285	10,809	165	6,758	37	1,774	20	1,311	18	820
Preferred stock.....	49	1,310	29	1,317	8	199	4	115	4	98
Common stock.....	632	4,205	216	2,606	61	312	22	378	13	172
Unseasoned.....	400	1,082	131	218	40	87	11	14	6	13
Seasoned.....	232	3,123	85	2,388	21	225	11	364	7	159
Closed-end shares ^{1/}	N/A	N/A	22	1,444	N/A	N/A	4	93	2	72
Other Equity Securities.....	140	1,372	138	1,229	26	283	21	183	20	131
SECONDARY OFFERINGS	697	4,565	257	1,484	88	657	30	216	32	119
Cash sale.....	403	3,354	70	820	42	475	7	155	3	37
Other than cash sale.....	294	1,211	187	664	46	182	23	60	29	83

	Amount				
FOR EXTENDED CASH SALE AND OTHER ISSUES.....	13,130	10,358	1,562	1,257	1,019
Investment company issues ^{1/}	8,371	5,270	913	539	610
Employee savings and thrift plans.....	1,767	1,500	125	297	108
Employee stock option plans.....	2,621	2,649	501	304	269
Other employee plans.....	371	761	23	117	26
Dividend reinvestment Plans..	*	178	*	0	6
FOREIGN GOVERNMENT.....	329	624	0	0	129
OTHER NON CASH ISSUES.....	4,507	7,899	820	535	1,166
Exchange transactions ^{2/}	695	6,641	93	380	947
Reserved for conversion.....	1,536	742	368	146	57
Warrants and options.....	1,090	100	166	1	13
Other.....	1,186	417	194	8	149

* Dividend reinvestment plans tabulated beginning January 1973.

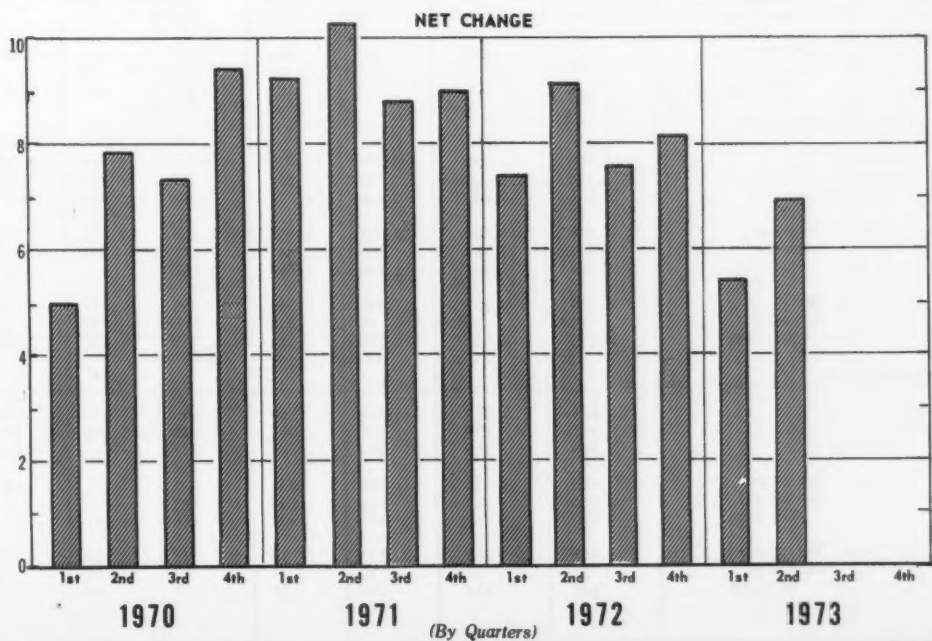
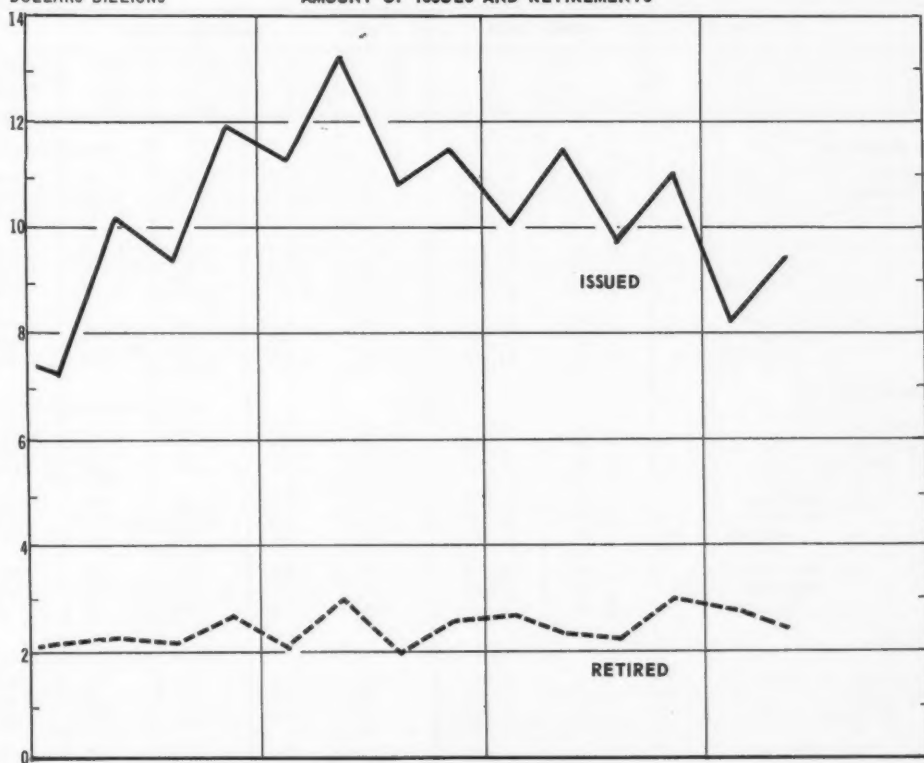
^{1/} Closed-end investment companies were included in extended cash sale until December 1972. Closed-end shares include preferred and common stock.^{2/} Includes mergers and consolidations subject to registration under 1933 Act, Rule 154, effective January 1973.

CORPORATE SECURITIES*

NET CHANGE IN AMOUNT OUTSTANDING

DOLLARS BILLIONS

AMOUNT OF ISSUES AND RETIREMENTS



*Excludes Investment Companies

DS-4889

NET CHANGE IN CORPORATE SECURITIES OUTSTANDING

(AMOUNTS IN MILLIONS OF DOLLARS)

	ANNUAL DATA		QUARTERLY DATA				
	1971	1972	1972 Apr.- June	1972 July- Sept.	1972 Oct.- Dec.	1973 Jan.- March	1973 Apr.- June
ALL INDUSTRIES							
New issues	46,687	42,306	11,514	9,776	10,944	8,219	9,418
Retirements	9,507	10,224	2,389	2,212	2,932	2,806	2,470
Net Change	37,180	32,082	9,125	7,564	8,012	5,412	6,947
Debt - New issues	31,917	27,065	7,250	6,118	6,998	4,198	5,769
Retirements	8,190	8,003	2,191	1,603	2,207	1,781	1,664
Net change	23,728	19,062	5,059	4,515	4,790	2,417	4,106
Stock - New issues	14,769	15,242	4,264	3,659	3,946	4,020	3,648
Retirements	1,318	2,222	198	609	725	1,025	806
Net change	13,452	13,018	4,066	3,049	3,220	2,995	2,842
MANUFACTURING							
New issues	12,865	8,185	2,383	2,025	1,827	1,563	1,881
Retirements	3,746	4,096	828	1,017	1,420	1,364	1,251
Net Change	9,118	4,088	1,555	1,008	406	198	630
Debt - New issues	9,571	4,832	1,415	1,096	1,057	827	1,372
Retirements	2,985	2,837	711	618	940	691	740
Net change	6,585	1,995	704	479	116	135	632
Stock - New issues	3,295	3,353	968	929	770	736	508
Retirements	761	1,259	117	399	480	673	511
Net change	2,534	2,094	851	530	290	63	-2
EXTRACTIVE							
New issues	931	1,647	227	408	683	163	211
Retirements	334	240	32	68	63	27	43
Net change	598	1,406	195	339	620	136	168
Debt - New issues	161	673	46	179	385	15	24
Retirements	241	167	31	24	37	25	42
Net change	-80	505	16	154	347	-11	-18
Stock - New issues	771	973	180	229	298	149	187
Retirements	92	72	1	44	25	2	1
Net change	678	901	179	185	273	147	186
ELECTRIC, GAS AND WATER							
New issues	11,705	11,224	3,404	2,720	3,024	2,319	2,666
Retirements	1,013	1,243	384	249	233	305	254
Net change	10,691	9,981	3,020	2,471	2,791	2,014	2,412
Debt - New issues	7,484	6,238	2,211	1,651	1,286	1,081	1,356
Retirements	997	1,100	367	241	229	237	219
Net change	6,486	5,137	1,844	1,410	1,056	844	1,136
Stock - New issues	4,223	4,987	1,193	1,069	1,739	1,238	1,310
Retirements	16	143	17	8	4	68	34
Net change	4,206	4,844	1,176	1,061	1,735	1,170	1,276
TRANSPORTATION							
New issues	2,801	1,923	556	369	455	277	528
Retirements	1,102	957	265	204	228	194	194
Net change	1,700	966	291	166	227	83	334
Debt - New issues	1,980	1,626	380	333	402	259	488
Retirements	1,080	914	253	194	223	132	161
Net change	900	712	127	138	179	127	327
Stock - New issues	821	297	176	37	53	19	40
Retirements	21	43	11	9	5	62	33
Net change	800	254	164	27	47	-43	7

NET CHANGE IN CORPORATE SECURITIES OUTSTANDING

(AMOUNTS IN MILLIONS OF DOLLARS)

	ANNUAL DATA		QUARTERLY DATA				
	1971	1972	1972 Apr.- June	1972 July Sept.	1972 Oct.- Dec.	1973 Jan.- March	1973 Apr.- June
COMMUNICATION							
New issues	5,836	4,953	1,384	967	1,092	741	1,430
Retirements	312	350	114	89	59	37	26
Net change	5,524	4,603	1,270	878	1,033	704	1,404
Debt - New issues	4,226	3,662	920	659	990	550	868
Retirements	300	319	114	86	46	30	26
Net change	3,925	3,343	806	573	944	520	842
Stock - New issues	1,611	1,291	464	308	101	192	562
Retirements	11	29	*	2	12	7	1
Net change	1,600	1,260	464	305	89	185	562
SALES & CONSUMER FINANCE							
New issues	1,471	1,844	721	297	425	239	308
Retirements	389	123	59	12	31	33	39
Net change	1,082	1,721	662	285	395	206	269
Debt - New issues	1,451	1,831	719	293	423	237	307
Retirements	380	118	59	12	30	31	37
Net change	1,072	1,712	660	281	393	206	270
Stock - New issues	19	13	2	4	2	2	1
Retirements	10	4	*	*	*	2	2
Net change	10	9	2	4	2	*	-1
FINANCIAL AND REAL ESTATE							
New issues	7,203	8,722	1,649	1,951	2,545	2,431	1,844
Retirements	1,263	1,882	440	328	440	427	392
Net change	5,939	6,839	1,209	1,623	2,105	2,003	1,452
Debt - New issues	4,944	6,304	992	1,440	1,896	1,098	1,016
Retirements	1,012	1,552	419	265	369	338	238
Net change	3,932	4,752	573	1,174	1,527	759	779
Stock - New issues	2,258	2,418	657	512	649	1,334	828
Retirements	251	332	22	63	71	89	154
Net change	2,007	2,087	636	449	578	1,244	674
COMMERCIAL AND OTHER							
New issues	3,872	3,807	1,189	1,039	892	485	549
Retirements	1,347	1,333	267	245	458	418	272
Net change	2,524	2,474	923	793	434	67	277
Debt - New issues	2,101	1,900	566	468	559	133	338
Retirements	1,193	994	238	162	330	296	202
Net change	907	904	328	305	228	-163	137
Stock - New issues	1,771	1,908	624	571	333	351	211
Retirements	154	339	29	83	128	122	70
Net change	1,618	1,570	595	488	206	230	141

SEC 908 (3-73)

* Less than \$500,000.

PRIVATE NONINSURED PENSION FUNDS - 1972

Net receipts of private noninsured pension funds were \$11.6 billion in 1972 as compared to \$10.3 billion in 1971. Total receipts were a record \$20.1 billion, up from \$17.5 billion in the previous year; total disbursements were \$8.5 billion, up from \$7.3 billion in 1971. These figures cover pension plans of corporations, multi-employer and union groups, and non-profit organizations; profit-sharing funds with retirement provisions are also included. Other employee benefit plans--such as health and welfare funds--are not included in these data. (See Table 1.)

The major item in total receipts is employer contributions--amounting to \$12.7 billion in 1972. This was an increase of 12.5 percent over 1971. Employee contributions amounted to \$1.2 billion, or 8.6 percent of all contributions in 1972. Over the years, the proportion of employee contributions to total contributions has slowly declined. Investment income (interest, dividends and rent) was \$4.3 billion in this past year compared to \$4.1 billion in 1971. Net profit on sale of assets brought in \$1.7 billion during 1972. On the disbursement side, benefits paid to beneficiaries amounted to \$8.3 billion, an increase of 17.2 percent over 1971.

Investment Return of Pension Funds

There are a number of measurements for the rate of return on investment. These measurements depend on the definition of investment return and on the valuation of the asset base (book or market). A limited measure of return on investment is investment income (interest, dividends and rent); a more inclusive definition of return would include both investment income and net realized gain from the sale of assets. An even more inclusive measure of return has an additional component--unrealized appreciation of assets. As figures on pension fund assets show an increasing and heavy commitment by pension funds to common stock investment, many would insist that this most inclusive measure of return of investment is appropriate.

The rate of return based merely on interest, dividends and rent has been fairly steady over the years. The average rate of return using this measurement for the ten years prior to 1972 was 4.1 percent (book), compared to a 3.8 percent return in 1972. The rate of return which includes all realized investment gains has also been relatively consistent over the past decade (with the exception of 1970 when pension funds experienced a net loss on the sale of assets). The

ten year averages prior to 1972 were 4.8 percent (book) and 4.2 percent (market); comparable figures for 1972 were 5.4 percent and 4.3 percent. Figuring total investment gains, the rate of return experienced by pension funds has fluctuated considerably. As computed with market value as a base, the rate of return using total investment gains of pension funds was -4.6 percent in 1969, 6.0 percent in 1970, 15.4 percent in 1971, and 15.9 percent in 1972.

Assets of Private Noninsured Pension Funds

Tables 2 and 3 detail the asset composition of private noninsured pension funds since 1962 at both book and market value. Book value of all noninsured pension funds at the end of 1972 was \$117.5 billion; market value was \$154.3 billion. The difference may be attributable to unrealized appreciation, mostly in the common stock sector. Quarterly data on the asset distribution of pension funds (at book value) are published regularly in the Securities and Exchange Commission Statistical Bulletin. Second quarter 1973 data will appear in the September 19 Bulletin.

The increase in book value of total assets during 1972 was \$11.1 billion or 10.4 percent. The average annual rate of growth in the decade of the sixties was 12.1 percent; in 1970, 7.1 percent; in 1971, 9.7 percent. Market value of pension fund assets at yearend 1972 was 21.6 percent higher than at the end of 1971.

The table below illustrates the composition of private noninsured pension fund assets, both book and market, for the last three years.

Assets of Private Noninsured Pension Funds

(Percent)

	<u>Book Value</u>			<u>Market Value</u>		
	<u>1970</u>	<u>1971</u>	<u>1972</u>	<u>1970</u>	<u>1971</u>	<u>1972</u>
Cash and deposits	1.9	1.5	1.6	1.7	1.3	1.2
U.S. Government securities	3.1	2.6	3.1	2.9	2.2	2.4
Corporation and other bonds	30.6	27.3	24.0	23.8	20.6	17.0
Preferred stock	1.8	1.7	1.3	1.5	1.6	1.2
Common stock	53.3	59.0	63.5	62.6	68.2	73.5
own company	3.3	3.3	3.3	5.7	5.9	5.7
other company	50.0	55.7	60.2	56.9	62.3	67.8
Mortgages	4.4	3.4	2.5	3.4	2.5	1.7
Other assets	4.9	4.5	4.0	4.1	3.6	3.0
Total assets	100.0	100.0	100.0	100.0	100.0	100.0

Almost \$3.9 billion of pension fund assets (book value) was invested in the common stock of the employer company at yearend 1972. In terms of market value, \$8.8 billion was invested in "own company" common stock-- this was 7.8 percent of all common stock held by pension funds, or 5.7 percent of all assets of pension funds.

Assets of All Private and Public Pension Funds

Combined assets of all pension funds--both private and public-- totaled \$318.0 billion at the end of 1972. This figure includes assets of private insured pension plans, private noninsured pension plans, state and local pension funds, and funds administered by the U.S. Government. (See Table 4.)

The private sector held \$169.8 billion in assets at yearend 1972; of this amount, insured pension reserves were \$52.3 billion at statement value.^{1/} Separate accounts of insured companies held \$9.8 billion in assets on December 31, 1972. These separate accounts were responsible for \$2.7 billion of the \$5.9 billion total increase in insured pension reserves during 1972.

Public pension funds held \$148.2 billion in total assets as compared to \$135.9 billion at the end of 1971. Pension funds administered by the U. S. Government (which are invested almost exclusively in U.S. Government securities) had \$76.1 billion in assets at the end of 1972, for an annual increase of 7.0 percent. The other component of the public sector, state and local retirement funds, held \$72.1 billion at the end of the year, up 11.3 percent in 1972. (For details of the asset structure of state and local retirement funds, see our preliminary pension fund report in the April 4, 1973 issue of the Securities and Exchange Commission Statistical Bulletin, page 283.)

^{1/} Statement value, or Association value, is the value determined under the appropriate procedures of the National Association of Insurance Commissioners and recommended for use in the annual statement of insurers. Under these procedures, common stock is generally valued at market, and bonds, for the most part, are carried at amortized value.

TABLE 1
 RECEIPTS AND DISBURSEMENTS OF PRIVATE NONINSURED PENSION FUNDS
 (Millions of Dollars)

	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971 ^F	1972
Total receipts.....	6,270	6,780	7,940	9,280	10,330	11,820	13,150	14,150	13,200	17,540	20,070
Employer contributions.....	3,960	4,170	4,850	5,600	6,360	7,040	7,700	8,490	9,720	11,320	12,740
Employee contributions.....	520	560	600	670	710	790	890	1,010	1,070	1,120	1,200
Investment income.....	1,580	1,790	2,050	2,390	2,670	2,940	3,190	3,550	3,870	4,100	4,300
Net profit on sale of assets.....	150	230	400	570	520	1,000	1,260	990	-1,590	900	1,720
Other receipts.....	60	40	40	50	70	60	100	110	130	100	100
Total disbursements.....	1,880	2,090	2,420	2,880	3,480	3,990	4,620	5,430	6,180	7,260	8,490
Benefits paid out.....	1,820	2,020	2,350	2,800	3,380	3,880	4,500	5,290	6,030	7,080	8,300
Expenses and other disbursements..	70	70	80	90	110	120	120	140	150	180	200
Net receipts.....	4,390	4,700	5,510	6,400	6,840	7,830	8,530	8,720	7,020	10,280	11,580

^F Revised

NOTE: Includes deferred profit sharing funds and pension funds of corporations, unions, multiemployer groups and nonprofit organizations.
 Figures may not add to totals because of rounding.

TABLE 2
ASSETS OF PRIVATE NONINSURED PENSION FUNDS

	Book Value, End of Year (Millions of Dollars)										
	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971	1972 ^r
Cash and deposits.....	710	770	890	960	900	1,320	1,590	1,620	1,800	1,640	1,860
U.S. Government securities.....	2,920	3,050	3,190	2,990	2,750	2,320	2,760	2,790	3,030	2,730	3,690
Corporate and other bonds.....	18,100	19,560	21,420	23,130	25,230	26,360	27,000	27,610	29,670	29,010	28,210
Preferred stock.....	750	710	650	750	790	980	1,330	1,760	1,740	1,770	1,480
Common stock.....	15,730	18,120	20,950	25,120	29,070	34,950	41,740	47,860	51,740	62,780	74,580
Own company.....	1,180	1,340	1,550	1,830	2,090	2,560	2,800	3,020	3,270	3,520	3,880
Other companies.....	14,540	16,780	19,400	23,290	26,980	32,380	38,940	44,840	48,480	59,260	70,710
Mortgages.....	1,880	2,220	2,780	3,380	3,910	4,080	4,070	4,220	4,300	3,680	3,000
Other assets.....	1,800	2,120	2,540	2,870	3,520	4,230	4,580	4,720	4,730	4,800	4,710
Total Assets.....	41,890	46,550	52,420	59,180	66,170	74,240	83,070	90,580	97,010	106,420	117,530
Change in total assets.....	4,360	4,660	5,860	6,770	6,980	8,070	8,830	7,510	6,430	9,410	11,110

^r Revised

NOTE: Includes deferred profit sharing funds and pension funds of corporations, unions, multiemployer groups, and nonprofit organizations. Figures may not add to totals because of rounding.

Table 3

ASSETS OF PRIVATE NONINSURED PENSION FUNDS

Market Value, End of Year
(Millions of Dollars)

	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971	1972 ^F
Cash and deposits.....	700	800	900	900	900	1,300	1,600	1,600	1,800	1,600	1,900
U.S. Government securities.....	2,900	3,000	3,200	2,900	2,700	2,200	2,600	2,600	3,000	2,800	3,700
Corporate and other bonds.....	17,900	18,800	20,700	21,900	22,500	22,600	22,400	21,300	24,900	26,100	26,200
Preferred stock.....	700	700	700	800	800	1,000	1,400	1,600	1,600	2,000	1,900
Common stock.....	21,200	27,000	33,000	40,000	38,700	50,100	60,100	59,800	65,500	86,600	113,400
Own company.....	2,600	3,100	4,000	4,400	3,500	5,000	5,700	5,700	5,900	7,500	8,800
Other companies.....	18,600	23,800	29,000	35,600	35,200	45,100	54,400	54,200	59,500	79,100	104,600
Mortgages.....	1,900	2,200	2,800	3,400	3,800	4,000	3,600	3,500	3,600	3,200	2,700
Other assets.....	1,800	2,200	2,600	3,000	3,500	4,200	4,300	4,300	4,300	4,500	4,600
Total Assets.....	46,700	54,600	63,900	72,900	72,800	85,500	96,000	94,600	104,700	126,900	154,300

F Revised

NOTE: Includes deferred profit sharing funds and pension funds of corporations, unions, multiemployer groups, and nonprofit organizations. Figures may not add to totals because of rounding.

TABLE 4
ASSETS OF ALL PRIVATE AND PUBLIC PENSION FUNDS
Book Value, End of Year
(Billions of Dollars)

	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971	1972
Private											
Insured pension reserves ^{1/}	21.6	23.3	25.2	27.4 ^r	29.4	32.0	35.0	37.9	41.2	46.4 ^r	52.3 ^r
(Separate accounts included above) ^{2/}	63.5	69.9	77.7	86.5	95.6	106.3	118.0	128.5	138.2	152.8 ^r	169.8 ^r
Noninsured pension funds ^{3/}	41.9	46.6	52.4	59.2	66.2	74.2	83.1	90.6	97.0	106.4	117.5 ^r
Public											
State and local.....	61.4	65.0	69.5	72.8	80.2	90.1	98.6	110.5	123.5	135.2	148.2
Federal	24.5	26.9	29.7	33.1	36.9	41.5	46.2	51.2	58.0	64.8	72.1
Federal Old-age and Survivors Insurance.....	18.3	18.5	19.1	18.2	20.6	24.2	25.7	30.1	32.5	33.8	35.3
Federal Disability Insurance.....	2.4	2.2	2.0	1.6	1.7	2.0	3.0	4.1	5.6	6.6	7.5
Civil Service Retirement and Disability Program ^{4/}	12.5	13.5	14.7	15.9	17.0	18.1	19.4	20.8	23.1	26.4	29.2
Railroad Retirement.....	3.7	3.8	3.8	3.9	4.1	4.2	4.2	4.3	4.4	4.3	4.1
Total Private and Public	124.9	134.8	147.1	159.3	175.9	196.4	216.7	239.0	261.7	288.7 ^r	318.0 ^r

^r Revised.

* Less than \$50 million.

^{1/} Statement value.

^{2/} Separate accounts of life insurance companies, established for specific pension plans, allow greater investment latitude than is permissible under state laws for general life insurance assets.

^{3/} Includes deferred profit sharing funds and pension funds of corporations, unions, multiemployer groups, and nonprofit organizations.

^{4/} Includes Foreign Service Retirement and Disability Trust Fund.

NOTE: Figures may not add to totals because of rounding.

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